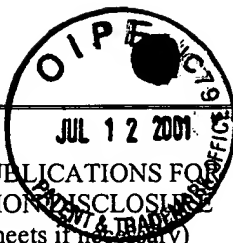


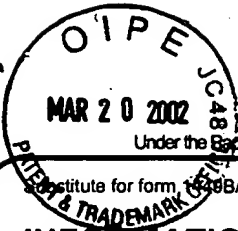
FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: 19930-00800US Applicant: Robert Anderson et al. Filing Date: December 20, 2000		Application No.: 09/747,064 Group: Not Yet Assigned	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<i>[Signature]</i> AA	5,414,540	05/09/95	Patel et al.	359	39	
<i>[Signature]</i> AB	5,917,625	06/29/99	Ogusu et al.	359	130	
<i>[Signature]</i> AC	App. No. 09/442,061	11/16/99	Weverka et al.	385		
<i>[Signature]</i> AD	5,960,133	9/28/99	Tomlinson	385		
____ AE						
____ AF						
____ AG						
____ AH						
____ AI						
____ AJ						
____ AK						
____ AL						
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
____ AM						
____ AN						
____ AO						
____ AP						
____ AQ						
____ AR						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>[Signature]</i> AS	Sun, et al., "Demultiplexer with 120 Channels and 0.29-nm Channel Spacing," IEEE Photonics Technology Letters, Vol. 10, No. 1, January 1998, pp. 90-92.					
<i>[Signature]</i> AT	Nishi et al., "Broad-Passband-Width Optical Filter for Multi/Demultiplexer Using a Diffraction Grating and a Retroreflector Prism," Electronics Letters, Vol. 21, No. 10, May 1985, pp. 423-424.					
<i>[Signature]</i> AU	Philippe et al., "Wavelength demultiplexer: using echelette gratings on silicon substrate," Applied Optics, Vol. 24, No. 7, April 1985, pp. 1006-1011.					
<i>[Signature]</i> AV	Piezo Systems, Inc. Catalog #2, 1998, pp. 1, 30-45.					
<i>[Signature]</i> AW	Ford et al., "Wavelength Add-Drop Switching Using Tilting Micromirrors," Journal of Lightwave Technology, Vol. 17, No. 5, May 1999, pp. 904-911.					
EXAMINER <i>[Signature]</i> DATE CONSIDERED 9/13/03						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified)		Attorney Docket No.: 19930-00800US		Application No.: 09/747,064		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Robert Anderson et al.		Group: Not Yet Assigned		
Filing Date: December 20, 2000						
Reference Designation		U.S. PATENT DOCUMENTS				
Page 1						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<u>AA</u>	5,999,672	12/07/99	Hunter et al.	385	37	
<u>AB</u>	6,097,519	08/01/00	Ford et al.	359	130	
<u>AC</u>	6,097,859	08/01/00	Solgaard et al.	385	17	
<u>AD</u>	6,108,471	08/22/00	Zhang et al.	385	37	
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<u>AM</u>						
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<u>AP</u>						
<u>AQ</u>						
<u>AR</u>						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<u>AS</u>	Grade, John D. et al., "A Large-Deflection Electrostatic Actuator For Optical Switching Applications," Solid-State and Actuator Workshop, Hilton Head Island, SC, pp. 97-100 (June 2000)					
<u>AT</u>						
<u>AU</u>						
<u>AV</u>						
<u>AW</u>						
<u>AX</u>						
EXAMINER	<u>Daniel R. Hovacek</u>		DATE CONSIDERED	<u>9/13/03</u>		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known		
		Application Number	09/747,064	
		Filing Date	December 20, 2000	
		First Named Inventor	Anderson, Robert	
		Art Unit	2633	
		Examiner Name	Unassigned	
Sheet	2	Attorney Docket Number		019930-000800US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>DRP</i>	BC	RALLISON, R.D., "Dense Wavelength Division Multiplexing (DWDM) and the Dickson Grating," White Paper, January 6, 2001	
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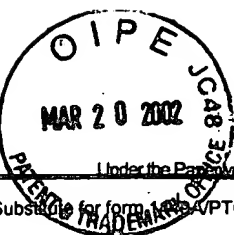
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Examiner Signature	<i>Daniel P. Brown</i>	Date Considered	9/13/03
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

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Application Number	09/747,064
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First Named Inventor	Anderson, Robert
Art Unit	2633
Examiner Name	Unassigned
Attorney Docket Number	019930-000800US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	US-6,307,657		10/23/01	Ford	359/130
	AB	US-				
	AC	US-				
	AD	US-				
	AE	US-				
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FOREIGN PATENT DOCUMENTS

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
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	AV							
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	BB							

Examiner
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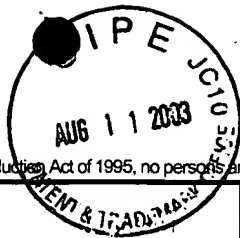
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Page 1 of 1

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Application Number	09/747,064
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Examiner Name	Unassigned
Attorney Docket Number	019930-000800US

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DAF	A1	US-6,529,307 B1	03-04-2003	Peng et al.	359/256
	A2	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	B1					<input type="checkbox"/>
	B2					<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1		
	C2		

Examiner Signature	Daniel Petkovice	Date Considered	9/13/03
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